

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

SPRAGUE-REI-1

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,842,629, granted 12/01/1998, and for which a reissue patent is sought on the invention entitled \_\_\_\_\_

VERI MAG PACK

the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_ / \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

- ☒ by reason of a defective specification or drawing.
- ☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.
- ☐ by reason of other errors.

At least one error upon which reissue is based is described as follows:

1. The patentees claimed less than they had the right to claim in the patent. In particular, the patentees included unnecessary limitations directed to the material of which the package is made, the manner in which it is imprinted, the presence of perforations, the composition of the transparent window, and other matters.

2. There are defects in the specification. In particular, there is no reference to related patents of the relevant art, and there are various grammatical and punctuation errors.

[Page 1 of 2]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(REISSUE APPLICATION DECLARATION BY THE ASSIGNEE, page 2)

Docket Number (Optional)  
**SPRAGUE-REI-1**

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Name(s)	Registration Number
<u>Royal W. Craig</u>	<u>34,145</u>
<u>Gregory M. Stone</u>	<u>43,165</u>
<u>Joseph M. Gusmano</u>	<u>41,051</u>

Correspondence Address: Direct all communications about the application to:

☐ Customer Number

Type Customer Number here



Place Customer  
Number Bar Code  
Label here

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Joseph M. Gusmano				
Address	LAW OFFICES OF ROYAL W. CRAIG, P.C.				
Address	210 North Charles Street, Suite 1319				
City	Baltimore	State	MD	ZIP	21201
Country	U.S.A.				
Telephone	410-528-8252	Fax	410-528-1066		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of person signing (given name, family name)

William R. Sprague

Signature

Date

6/14/99

Residence Reisterstown, Maryland, U.S.A.

Citizenship

U.S.A.

Post Office Address 220 Business Center Drive, Reisterstown MD 21136

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
**SPRAGUE-REI-1**

Applicant, Patentee, or Identifier: **William R. SPRAGUE and Steven W. SPRAGUE**

Application or Patent No.: **To be assigned (REISSUE)**

Filed or Issued: **Herewith**

Title: **VERI MAG PACK**

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN **Sprague Licensing Corporation**

ADDRESS OF SMALL BUSINESS CONCERN **220 Business Center Drive**  
**Reisterstown MD 21136**

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING **William R. Sprague**

TITLE OF PERSON IF OTHER THAN OWNER **President**

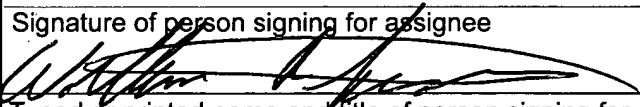
ADDRESS OF PERSON SIGNING **220 Business Center Drive, Reisterstown MD 21136**

SIGNATURE

*William R. Sprague*

DATE

*6/14/99*

<b>REISSUE APPLICATION BY THE ASSIGNEE, OFFER TO SURRENDER PATENT</b>		Docket Number (Optional) <b>SPRAGUE-REI-1</b>
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s): <b>William R. SPRAGUE and Steven W. SPRAGUE</b>		
Patent Number <b>5,842,629</b>	Date Patent Issued <b>December 1, 1998</b>	
Title of Invention <b>VERI MAG PACK</b>		
<p><u>Sprague Licensing Corporation</u> is the assignee of the entire interest in the original patent.</p> <p>I offer to surrender the original patent.</p> <p><input checked="" type="checkbox"/> A certificate under 37 CFR 3.73(b) is attached.</p> <p>I am authorized to act on behalf of the assignee.</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.</p>		
Name of assignee <b>Sprague Licensing Corporation</b>		
Signature of person signing for assignee 	Date <b>6/14/99</b>	
Typed or printed name and title of person signing for assignee <b>William R. Sprague, President</b>		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant: William R. SPRAGUE and Steven W. SPRAGUEApplication No.: 694,597 (Patent No. 5,842,629) Filed: August 9, 1996 (Issued December 1, 1998)Entitled: VERI MAG PACKSprague Licensing Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel N/A, Frame N/A, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

6/14/99  
Date

William R. Sprague  
Signature

William R. Sprague

Typed or printed name

President

Title

## ASSIGNMENT

WHEREAS, We, William R. Sprague, 133 Westminster Pike, Reisterstown, MD 21136 and Steven W. Sprague, 7908 Tressel Ct., Severn, Md. 21144, (hereafter "ASSIGNORS"), having jointly invented a package that protects the magnetic stripe and resident P.I.N. of a data card from unauthorized access from manufacture to the point of sale (hereinafter "THE VERI MAG PAK") as described and claimed in U.S. Patent No. 5,842,629:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, by these presents do sell, assign, and transfer unto Sprague Licensing Corporation, a corporation having a principal place of business at 220 Business Center Drive, Reisterstown, Maryland 21136 (hereinafter ASSIGNEE), its successors, assigns, and legal representatives, the full and exclusive right, title and interest in and to the said invention in the United States and in all foreign countries as described in the aforesaid application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention relating to Patents, Design and Industrial Models, and any other international agreements to which the United States of America adheres, including all right, title, and interest in and to any United States Patent granted on said application or on any continuation, continuation-in-part, divisional application or any renewal or reissue patent based in whole or in part on said application.

ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to said ASSIGNEES, their successors, assigns, and legal representatives in accordance with the terms of this instrument.

AND ASSIGNORS do HEREBY covenant and agrees that without further compensation I shall communicate to said ASSIGNEE, its successors, legal representatives, and assigns any facts known to them respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute any and all divisional, continuation, continuation-in-part and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEES, their successors, legal representatives, and assigns, to obtain and enjoy the patent rights granted for the protection for said invention.

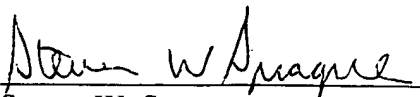
ASSIGNORS agree furthermore, upon request of said ASSIGNEE, without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

Executed at \_\_\_\_\_ this 24  
day of MAY, 1999.

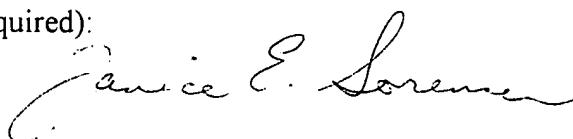
Date

5/24/99  
William R. Sprague

Date

5/24/99  
Steven W. Sprague

Sworn and subscribed (Notary required):



My commission expires 12/1/02